MARGER JOHNSON ET AL

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TO:

Examiner Kimberly Eaton / Group Art 2161

U.S. Patent and Trademark Office

FROM:

Ariel S. Rogson

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Re:

SYSTEM AND METHOD FOR PROCESSING TOKENLESS BIOMETRIC

ELECTRONIC TRANSMISSIONS USING AN ELECTRONIC RULE MODULE

CLEARINGHOUSE

Serial No. 09/398,914 filed September 16, 1999

Our Docket No. 8514-25

Dear Examiner Eaton:

Following up on our telephone conversation this morning, attached is a copy of our Preliminary Amendment on the above-referenced patent application. Please contact me if you have any questions.

Ariel Rogson

I hereby certify that this correspondence is being Transmitted to the U.S. Patent and Trademark Office via facsimile number (703) 306-5487 on May 7, 2002.

Signature J-X a.

Yaura Sabo

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PATENT APPLICATION Attorney's Do. No. 8514-25 (STA-21)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ned Hoffman and Philip D. Lapsley

Serial No.: 09/398,914

Group Art Unit: 2161

Filed: September 16, 1999

Examiner Cosimano

For: SYSTEM AND METHOD FOR PROCESSING

TOKENLESS BIOMETRIC ELECTRONIC TRANSMISSIONS USING AN ELECTRONIC

RULE MODULE CLEARINGHOUSE

Box Non Fee Amendment **Assistant Commissioner for Patents** Washington, D.C. 20231

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Enclosed is a Preliminary Amendment in the above-identified application GROUP 3600

The fee has been calculated as shown below.

			- 1 55	Additional
Number After Amendment	Previous Number	Extra	Rate	Fee
25	-25*	0	x \$9 =	\$ 0
3	-3*	0	x \$42 =	\$ 0
TOTAL ADDITIONAL FEE				\$ 0
	Amendment	Amendment Number 25 -25*	Amendment Number 25 -25* 0	Amendment Number 25 -25* 0 x \$9 =

^{*}greater of twenty (20) or number for which fee has been paid

No additional fee is due.

Any deficiency or overpayment should be charged or credited to deposit account number 13-1703.

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PATENT TRADEMARK OPPICE

Respectfully submitted,

MARGER/JOHNSON & MCCOLLOM, P.C.

Johnson, Jr.

Reg. No. 29,39b

1030 S.W. Morrison Street Portland, Oregon 97205 Telephone: (503) 222-3613

cc: Michael J. Biber Philip D. Lapsley

^{**}greater if three (3) or number for which fee has been paid





PATENT APPLICATION Attorney's Do. No. 8514-25

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RULE MODULE CLEARINGHOUSE

I HEREBY CERTIFY THAT THIS COR-RESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON D.C.

ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON D.C. 20231 DASSISTANT COMMISSIONER FOR TRADEMARKS, 2996 CRYSTAL ORIVE.

Box Non Fee Amendment Assistant Commissioner for Patents Washington, D.C. 20231

PRELIMINARY AMENDMENT

Preliminary to examination, please amend the application as follows:

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GROUP 3600

In the specification:

Replace the indicated paragraphs as follows:

Page 17, paragraph starting at line 10, ending at line 18:

Tokenless biometric electronic transmissions are characterized by identifying the user with the user's bid biometric sample 62 submitted through a stand alone BIA 16 which is directly connected via dial-up, leased lines or wireless modem 56 to the Internet 18, or through a BIA 16 incorporated to any client terminal such as a desktop personal computer, a notebook computer, a thin-client, or other public terminal or kiosk 60 such as an Automated Teller Machine (ATM). In a preferred embodiment, the user is identified through biometrics while third-party databases 28 which are accessed to complete the electronic transmission are identified through the verification of a digital certificate issued by an authorized certifying authority.